

Docket Number: 040258-0280043  
Client Reference: EL00026CDC

AF / \$ 1763  
PATENT APPLICATION *ifw*

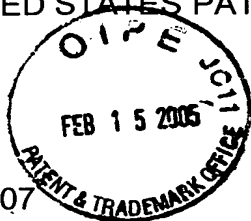
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

TADAHIRO OHMI, et al.

Application No.: 09/827,307

Filed: April 6, 2001



Group Art Unit: 1763

Examiner: Luz Alejandro Mulero

Confirmation No.: 4153

For: PLASMA PROCESSING APPARATUS AND PLASMA PROCESSING METHOD

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

THIS IS NOT A RESPONSE TO THE FINAL OFFICE ACTION MAILED ON  
JANUARY 25, 2005.

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached PTO-1449. One copy of the cited reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Please charge Deposit Account 033975 in the amount of \$180.00 in payment of the fee under 37 CFR 1.17(i)(p). Please credit or debit Deposit Account 033975 as needed to ensure consideration of the disclosed information.

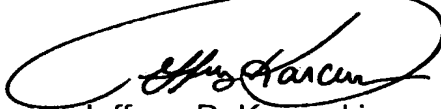
I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(1). Specifically, the items identified in this Information Disclosure Statement were cited in a European Search Report dated

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January 18, 2005. The Applicants omit WO 98/39500 from this Information Disclosure Statement because the reference was cited by the Examiner in the Office Action dated August 13, 2003.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Jeffrey D. Karceski", enclosed within a large, hand-drawn oval.

Jeffrey D. Karceski  
Registration Number 35914  
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Date: February 15, 2005

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PTO/SB/17 (12-04)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL for FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180

### Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   | 09/827,307           |
| Filing Date          | April 6, 2001        |
| First Named Inventor | TADAHIRO OHMI        |
| Examiner Name        | Luz Alejandro Mulero |
| Art Unit             | 1763                 |
| Attorney Docket No.  | 040258-0280043       |

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 033975 Deposit Account Name: PILLSBURY WINTHROP LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☐ Charge any additional fee(s) or underpayment of fees(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fee Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|---------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |               |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 150                   | _____         |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    | _____         |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    | _____         |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   | _____         |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     | _____         |

#### 2. EXCESS CLAIM FEES

| Fee Description   | Fee (\$) | Small Entity Fee (\$) |
|---|----------|-----------------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent            | 50       | 25                    |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200      | 100                   |
| Multiple dependent claims   | 360      | 180                   |

Total Claims: \_\_\_\_\_ Extra Claims: \_\_\_\_\_ Fee (\$): \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20

Multiple Dependent Claims: \_\_\_\_\_ Fee (\$): \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_

Indep. Claims: \_\_\_\_\_ Extra Claims: \_\_\_\_\_ Fee (\$): \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_

- 3 or HP = \_\_\_\_\_ X \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets: \_\_\_\_\_ Extra Sheets: \_\_\_\_\_ Number of each additional 50 or fraction thereof: \_\_\_\_\_ Fee (\$): \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_

- 100 = \_\_\_\_\_ /50= \_\_\_\_\_ (round up to a whole number) x 250.00 = \_\_\_\_\_

#### 4. OTHER FEE(S)

Non-English Specification, 130 fee (no small entity discount)

Other: Information Disclosure Statement

Fee Paid (\$)

180.00

#### SUBMITTED BY

|                   |                     |                                   |                   |           |              |
|-------------------|---------------------|-----------------------------------|-------------------|-----------|--------------|
| Signature         |                     | Registration No. (Attorney/Agent) | 35914             | Telephone | 703.905.2110 |
| Name (Print/Type) | Jeffrey D. Karceski | Date                              | February 15, 2005 |           |              |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

